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# HUMAN CAPITAL AND ITS INFLUENCE ON THE E-READINESS OF THE COMPANY: AN EMPIRICAL CASE

O CAPITAL HUMANO E A SUA INFLUÊNCIA NA PRONTIDÃO ELETRÓNICA DA EMPRESA: UM CASO EMPÍRICO

EL CAPITAL HUMANO Y SU INFLUENCIA EN LA PREPARACIÓN TECNOLÓGICA DE LA EMPRESA: UN CASO EMPÍRICO



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#### Abstract

**Objective of the study:** This article has two objectives, first to analyze how is the degree of e-Readiness on micro, small and medium enterprises (eRLMSMEs) of the state of Guanajuato, in Mexico, influenced by the technological preparation of human capital, ICT accessibility, the empowerment granted to human capital, the size and sector. The second objective is to analyze, by size and sector, which are the most relevant variables considered by the owners or managers in their e-Readiness (ER).

**Methodology/approach:** With a sample of 182 MSMEs, a quantitative, descriptive, correlational, discriminant and linear regression analysis was performed, using the Ordinary Least Squares Method (OLS).

**Originality/Relevance:** The study is relevant because it addresses the influence of human capital empowerment, *e-Readiness* and access to ICTs by companies in the ER of MSMEs, deepening the analysis by sector, industry, trade and services, and by size of micro, small and medium enterprises.

**Main results:** It was determined that all the independent variables are relevant to explain eRLMSMEs. By size, the Human Capital e-Readiness, ICT Accessibility, and Human Capital Empowerment variables are significant only for small enterprises, whereas ER of human capital substantially influences industries, commercial and service companies.

**Theoretical/methodological contributions:** This research goes deeper into the variables that influence the degree of *e-Readiness* on MSMEs in Mexico, considering the influence of the size and sector in their technological preparation.

**Social/management contributions:** The ICT are considered a necessary technological innovation for the development of MSMEs, as well as the other variables dealt with in this research, which promote changes in the context of the adoption of technological innovation.

Keywords: E-readiness of MSMEs. E-readiness of human capital. Empowerment.

#### Resumo

**Objetivo do estudo:** Este artigo tem dois objetivos, primeiro analisar como é que o grau de e-Readiness nas micro, pequenas e médias empresas (eRLMSMEs) do estado de Guanajuato, no México, é

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influenciado pelo preparação tecnológica do capital humano, acessibilidade nas TIC, empoderamento concedido ao capital humano, a dimensão e o sector. O segundo objetivo é analisar, por dimensão e sector, quais são as variáveis mais relevantes consideradas pelos proprietários ou gestores na sua e-Readiness (ER)

**Metodologia/abordagem:** Com uma amostra de 182 MPMEs, foi realizada uma análise quantitativa, descritiva, correlativa, discriminante e de regressão linear, usando o Método dos Mínimos Quadrados Ordinários (OLS).

**Originalidade/Relevância:** O estudo é relevante, porque aborda a influência da capacitação do capital humano, e-Readiness, e o acesso às TIC pelas empresas nas ER das MPMEs, aprofundando a análise por sector, indústria, comércio e serviços, e por dimensão das micro, pequenas e médias empresas.

**Principais resultados:** Foi determinado que todas as variáveis independentes são relevantes para explicar nas ER das MPMEs. Por dimensão, todas as variáveis são significativas apenas para as pequenas empresas. A ER do capital humano influenciam significativamente as indústrias, comerciais e serviços empresas.

**Contribuições teóricas/metodológicas:** Esta investigação vai mais fundo nas variáveis que influenciam o grau de e-Readiness nas MPMEs no México, considerando a influência da dimensão e do sector na sua preparação tecnológica.

**Contribuições sociais/para a gestão:** As TIC são consideradas uma inovação tecnológica necessária para o desenvolvimento das MPMEs, bem como as outras variáveis tratadas nesta investigação promovem mudanças no contexto da adoção da inovação tecnológica.

Palavras-chave: E-readição das MPMEs. E-readiness do capital humano. Empoderamento.

#### Resumen

**Objetivo del estudio:** Este artículo tiene dos objetivos, el primero analizar si el grado de preparación de las micro, pequeñas y medianas empresas (GPTMipymes) del estado de Guanajuato, está influenciado por la preparación tecnológica del capital humano, la accesibilidad a las TIC, el empoderamiento del capital humano, el tamaño y el sector. El segundo objetivo es analizar, por tamaño y sector, cuáles son las variables más relevantes consideradas por los propietarios o administradores en su preparación tecnológica (PT).

**Metodología/enfoque:** Con una muestra de 182 Mipymes, se realizó un estudio cuantitativo, descriptivo, correlacional, análisis discriminante y de regresión lineal, utilizando el Método de Mínimos Cuadrados ordinarios (MCO).

**Originalidad/Relevancia:** El estudio es relevante porque aborda la influencia que tiene el empoderamiento del capital humano, su preparación tecnológica y el acceso a las TIC que les otorga empresa en el GPT de las Mipymes, profundizando en el análisis por sector, la industria, comercio y servicios, y por tamaño de las micro, pequeñas y medianas empresas.

**Principales resultados:** Se determino que todas las variables independientes son relevantes para explicar el GPTMipymes. Por tamaño todas las variables son significativas únicamente para las pequeñas empresas. La PT del capital humano influyen significativamente en las industrias, empresas comerciales y servicios.

**Contribuciones teóricas/metodológicas:** Esta investigación profundiza en las variables que influyen en el grado de preparación tecnológica en las Mipymes de México, considerando que el tamaño y el sector influyen en su preparación tecnológica.

**Aportes sociales/de gestión:** Las TIC son consideradas una innovación tecnológica necesarias para el desarrollo de las Mipymes, así como las demás variables tratadas en esta investigación promueven cambios en el contexto de la adopción de la innovación tecnológica.

**Palabras-clave:** Grado de preparación tecnológica de las Mipymes. Preparación tecnológica del capital humano. Empoderamiento.





## **1** Introduction

MSMEs owners and managers around the world have to consider new ways to face the challenges presented by the market, since the quality and ability to do business, which were previously enough, are now insufficient for successful market competition, and require expanding their competitive capacity by searching for tools to develop business strategy. In this context, Information and Communication Technologies (ICT), such as incorporating email accounts, website, information storage, software for management, purchase of products and services online, electronic banking, electronic commerce, integral systems, networks with a central server, among other IT (Ríos, 2017; Ríos- Manríquez, 2019), acquire a significant relevance (Fonseca, 2013), are used by the company's human capital.

Human capital is an intellectual resource, as well as a source of skills and attitudes, that contributes on a daily basis to the operation of companies. Therefore, entrepreneurs or managers need to give their collaborators the skills, knowledge, and tools that allow them to create a synergistic environment for both the organization and themselves (Srivastava and Madan, 2018), since a successful execution of business strategies demands trained people for implementation (Gupta and Gupta, 2012). That is why it is important to train the staff for e-readiness and empower them, as this allows human capital to grow, compete, and confidently face the challenges in today's globalized world, obtaining optimal performance for the organization.

In addition to empowering employees, preparing them to handle ICT, accessibility to ICT must also be provided to them, as they are necessary tools for collaborators to carry out their activities successfully.

This research justifies the fact that the technological preparation of companies today is becoming essential for business survival. However, incorporating ICT is not easy for MSMEs, due to their shortcomings in obtaining and managing resources, requiring technological preparation of human capital, facilitating accessibility in ICT and transferring decision making power to the company's Human Capital, through the empowerment strategy. In the literature, there are few studies on ICT accessibility (Martelo, Jiménez & Jaimes, 2017), with no studies found to link the empowerment of human capital as an influencing factor in the degree of e-Readiness, technological preparation of human capital and ICT accessibility. In addition, the degree of ICT readiness is an area that deserves further investigation, in accordance with what is established by Golding, Donaldson, Tennat and Black (2008), especially since the use of ICT is reflected in the company size and sector, and there is a tendency to increase the use of ICT as their size increases (Pérez, Ramírez, and Topete, 2017).

Therefore, this article has two objectives, first to analyze how is the degree of e-Readiness on micro, small and medium enterprises (eRLMSMEs) of the state of Guanajuato, in Mexico, influenced by the technological preparation to human capital, ICT accessibility, the empowerment granted to human capital, and the company's size and sector.

The second objective is to analyze, by size and sector, which are the most relevant variables that the owners or managers consider in their ER. For this purpose, a mathematical model is proposed, using the Ordinary Least Squares Method to find the line of best fit between the variables proposed.

This article is structured in five sections: firstly the introduction which provides an overview of this study, as well as the justification, and objectives of the research. Secondly, a theoretical framework is included, on which this research is based, incorporating definitions of variables such as e-Readiness Level, technological preparation of human capital, ICT accessibility and human capital empowerment. In the third section, the methodology followed in this study is presented. In the fourth section, the results are analyzed from the perspective of the hypotheses presented, and finally, the analysis, discussion, conclusions, limitations, and future lines of research are reflected.

## **2 Background**

This section reflects the literature review on the importance of human capital in the development of the degree of e-Readiness preparation of micro, small and medium-sized companies in the state of Guanajuato, Mexico, establishing the variables of this study and the research hypotheses.

## 2.1 E-readiness level and human capital e-readiness

MSMEs are obliged to seek alternatives so as not to be left out of the market, where one of the strategies of survival in the market is to adhere to technological innovations such as Information and Communication Technologies (ICT), which includes the incorporations of e-mail accounts, website, storage of information, software for management, purchase products and services online, electronic banking, e-commerce, integral systems, networks with central server TI (Ríos, 2017; Ríos- Manríquez, 2019), among others.



Besides, technological innovation acquires relevance by virtue of its potential to promote changes in the context in which it is implemented (Garzón, 2015; García et al., 2017), especially Information and Communication Technologies, which have positively impacted on people's lives (Rohayani, Kurniabudi, and Sharipuddin, 2015), and changed the way activities are carried out in companies (Roblizo and Cózar, 2015). By increasing the development of human capital, the value of technological preparation is highlighted.

ER is defined as the ability to absorb knowledge and understand the benefits of using the ICT (Martín, Cristescu, Ciovica and Ciovica, 2012), whether they are individuals, countries or companies (Aboelmaged, 2014), and is a facilitator to use ICT (Santiago, 2014) in the company, developing communication channels, commercialization and distribution of goods and services (Martín et al., 2012).

ICT Technological Preparation in MSMEs can be defined as the skills acquired by MSMEs to adopt, benefit, and use ICT successfully. ICT are essential tools to carry out activities efficiently and effectively, under three premises: 1) preparing technologically, 2) adaptation of ICT technologies, and 3) exploiting them and benefiting from them.

There are numerous investigations regarding technological preparation, without being a unified criterion for its evaluation (Bui, Sankaran and Sebastian, 2003; Dada, 2006; Farias, Façanha, and Brazil, 2014; Mohitmafi and Hanafizadeh, 2016; Penz, Costa, Nascimento, and Rossetto 2017; Princely, 2005; Sang and Wan, 2010; Vieira and Bins 2005).

From the literature, it is possible to find several proposals that measure the degree or level of technological preparation (ER), which can be determined through various indicators, among which are telecommunications infrastructure, electronic commerce, electronic business, human resources, business resources, technological resources, commitment, administration of Information and Communication Technologies (ICT), market forces for e-Readiness preparation, government technological preparation, and support institutions for technological preparation (Molla y Liker, 2005; Ríos, Ferrer and Contreras, 2012) or by stages of digital readiness (Fundetec, 2014; Slusarczyk, Pozo and Perurena, 2015; Ríos, 2017; Ríos-Manríquez, 2019; Slusarczyk, Pozo and Perurena, 2015; Fathian, Akhavan and Hoorali 2008), see Table 1.

Also, the introduction of ICT into organizations is currently a prevailing situation (Gimeno, 2006) and as a means of achieving competitiveness, for which reason it becomes crucial that human capital has the necessary skills to deploy its potential from the technology (Ríos, 2016; Palomo, Hernández, Pedraza and Bolaños, 2009; Molla and Liker, 2005).



Also, if the talent of the organization has a high degree of technological preparation, it enables the organization to reach its optimal levels of efficiency and respond skillfully to the challenges that globalization inevitably presents (Ríos, 2014).

ER Level (Stage /level)	Description	Reference
Basic Stage	The company has a landline and mobile phone, website, interactive website, corporate intranet, and social networks.	
Growth Stage	The company has passed the basic stage, plus has storage of information, software for management, purchase products and services online, does electronic banking, electronic invoicing and electronic commerce.	Ríos (2017); Ríos- Manríquez (2019)
Maturity Stage	The company surpasses the stage in development; it has integral systems, design through software, networks with the central server.	
First stage	The company does not have ICT Access	
Second stage	Uses computers with internet, website and basic software.	Slusarczyk, Pozo &
Third stage	Works using a network, has complex systems.	Perurena (2015)
Fourth stage	Has specialized systems such as ERP, CRM in all areas.	
First Level	The company has basic infrastructure, mobile telephony, computer, and internet.	
Second Level	The company has internet, a website, and a relationship with online business partners.	Fundetec, 2014
Third Level	The company uses ICT to have smart businesses and manage knowledge.	
Basic communications	The company has landlines, mobile phones, and fax.	
Basic Informatic Technology	The company has computers connected to a printer with basic software and hardware.	
Advance communications	The company has internet browsing, use of e-mail, web pages, e-commerce, videoconferencing, intranet, and voice over the internet.	Kotelnikov (2007)
Advanced Information technology	The company has computers with advanced software with business applications.	
ER initialization	ER towards the internal of the organization	Molla y Liker (2005), used by Ríos, Ferrer y
ER Institutionalization	The company Technological Preparation in the external environment	Contreras (2012); Fathian, Akhavan & Hoorali, (2008); and Ríos (2016).

Table 1 – Methodologies to measure the e-Readiness (ER) in companies

Source: Elaborated based on Ríos-Manríquez (2019, p. 111).

Therefore, human capital is the essential element in this digitalization process; that is, it is important to have technological preparation in the use of computers, unlimited access to computers, unlimited access to the Internet, to provide opportunities for growth in ICT, and above all, that the company has the necessary technical and managerial skills for the use of information technologies, facilitating access to ICT.



# 2.2 ICT accessibly

Companies increasingly require the use of ICTs because of the benefits that allow them to successfully compete with other organizations (Daghighi, Sadegh, and Ebrahimi, 2014). Therefore, the accessibility of ICT implies that all the technological resources are available to all members of the organization (Guenaga, Barbier, and Eguiluz, 2007). The ICT Accessibility "is the ability of a person to perceive, use, navigate, communicate and interact with the ICT facilities in companies", (Daniel, Udoaku and Chima, 2014, p. 30). Tiwari, Chakravarty and Goyal (2014), have defined ICT accessibility as the timely availability of the ICTs by human capital at the time they are needed.

Therefore, the successful adoption of ICTs depends on its accessibility (Pedraza, Sánchez, and García, 2005).

Thus, in this research the following indicators were considered: access to computers, access to computers with internet, and access to networked computers; as per in the studies of Molla y Liker (2005) and Ríos, Ferrer and Contreras (2012).

## 2.3 Human capital and empowerment

In the degree of technological preparation, it is not enough to only technologically prepare human capital and provide access to ICT, but also necessary to grant it empowerment, a strategy that goes beyond a business management policy, and cares about and attends to cognitive aspects of human capital, such as meaning, competence, self-determination and impact (Spreitzer, 1995; Ríos, Téllez, and Ferrer, 2010).

The concept of empowerment is related to transferring decision-making power to the company's Human Capital -also called human talent based on their job position, and influences their confidence to carry out their activities (Altaf and Shahzad, 2018; Pérez and Guerrero, 2012; Ríos, Téllez, and Ferrer, 2010; Srividya, 2016).

A significant characteristic of empowerment is the great communication between the different hierarchical levels (Sulistyo and Siyamtinah, 2016). In empowerment, the concept of power is approached not from the perspective of control and dominance, but from an exchange that expands through the business network (Page and Czuba, 2011; Torres, 2011), enabling the organization to respond to changes in the environment, which translates into flexible organizations (Srividya, 2016; Sulistyo and Siyamtinah, 2016).

Empowerment of human capital is a significant challenge for any organization, and the effort invested in achieving this is effectively paid off, since the collaborator feels responsible



and increases his degree of involvement (Srividya, 2016; Cálix, Martínez, Vigier and Núñez, 2017), allowing human capital to internalize organizational philosophy (Fong and Snape, 2013; Mallak and Kurstedt, 1996), becoming a practice of human resources that generates added value to the company (Cálix et al. 2017; Srivastava and Madan, 2018).

Empowerment positively influences employee participation, job satisfaction, organizational commitment, motivation, and job performance. It also helps to create an environment of appropriate recognition and reward, thereby improving the organization's effectiveness in achieving its objectives (Gupta and Gupta, 2012: Srividya, 2016; Valencia, 2000), manifesting itself as an element of interest for management (Frymier, Shulman, & Houser, 1996; Gupta & Gupta, 2012).

Empowerment can be approached from the psychological aspect of human capital, which is made up of four dimensions: meaning, self-determination, competence and impact (Spreitzer, 1995), which have been considered in the studies of Avolio, Zhu, Koh and Bhatia (2004); Cheasakul and Varma (2016); Liden, Wayne, and Sparrowe (2000) Orgambídez-Ramos, Moura and de Almeida (2017); Muduli (2017) Pérez and Guerrero (2012) Rico, Peinado, Salvador, and González, (2016); Ríos, Téllez, and Ferrer (2010); Seirert, Silvert and Randolph (2004); Thomas and Velthouse (1990); Zhang and Bartol (2010).

**Meaning**. This is how workers appropriate organizational objectives as part of their personal goals (Pérez and Guerrero, 2012; Spreitzer, 1996; Thomas and Velthouse, 1990), and organizational goals, and adapt their behavior towards the achievement of the organization's objectives (Muduli, 2017; Spreitzer, 1995).

**Competence.** This term represents how the workers perceived their ability to perform in their job (Orgambídez-Ramos et al. 2017; Spreitzer, 1996).

**Self-determination**. Manifests the autonomy that a worker has to carry out a task from start to finish (Ahearne, Mathieu, and Rapp, 2005; Spreitzer, 1996).

**Impact.** The degree of awareness that a worker experiences on how his actions influence the results (Avolio et al. 2004; Spreitzer, 1996; Thomas and Velthouse, 1990).

Based on the literature, the following hypotheses is proposed:

H<sub>1</sub>. The degree of e-Readiness of MSMEs in the state of Guanajuato, in Mexico, is influenced by the technological preparation of human capital, ICT accessibility and empowerment of human capital, size, and sector.



## 2.4 Company size and sector

MSMEs around the world have shown to have a crucial role in the development, and economic growth of nations (World Bank, 2016) and Mexico is not an exception, since more than 98% of companies are of this type and provide 72 % of jobs, having a 52% contribution to the Gross Domestic Product (National Commission for the Efficient Use of Energy, 2017).

In spite of the vital role they play in the economy, MSMEs present great challenges to stay competitive (Proméxico, 2014), so understanding how they perform and grow is essential to improve their development in the business world.

Assuming that all companies benefit in the same way from the ICT adoption would imply avoiding the companies' own differences (Pérez et al. 2017).

For instance, even if MSMEs are usually characterized by their flexibility, which in many cases allows them to adapt easily to market changes, this is not necessarily true when it comes to ICTs, since it has a reduced capacity to cope with abrupt or disruptive technological changes (García et al. 2017).

Without pretending to analyze why such differences exist, many studies show that there are differences in the adoption of ICT related to the size of the company and the sector to which it belongs (Constante and Quintana, 2014; Demuner, Nava and Gómez, 2014; Esparza, Navarrete and Sansores, 2012; Mendoza, 2018; Qureshi, *et al.* 2014; Pérez, Ramírez and Topete, 2017; Palacios, Flores-Roux and García, 2013; Ríos, 2016.

Based on the literature, the following hypotheses are proposed:

H<sub>2</sub>. The technological preparation of human capital, ICT accessibility, the empowerment of human capital, size and sector influence the degree of technological preparation (ER) of micro, small and medium enterprises in the state of Guanajuato.

H<sub>3</sub>. The degree of e-Readiness of the industrial, commercial, and service companies of the state of Guanajuato are influenced by the technological preparation of human capital, ICT accessibility and empowerment of human capital.

## **3 Methodology**

In this section, the sample design, variables and indicators discussed in this study, as well as the statistical analysis used and the reliability of the instrument, are presented. This is in order to determine if the variables *human capital e-Readiness*, *ICT accessibility, and empowerment of human capital*, influence the degree of technological preparation of MSMEs.



In this section, the sample design, variables, indicators discussed in this study, the statistical analysis used, and the reliability of the instrument are presented.

# 3.1 Type of analysis

Consequently, to specify the characteristics of the sample, the items, and the variables proposed in this study, a quantitative, descriptive, correlational study and discriminant analysis were carried out. A linear regression model, using the Ordinary Least Squares Method (OLS), was proposed to perform a cross-section analysis as it provides the Best Linear Unbiased Estimators (BLUE) under the following assumptions: 1) The model is linear in the parameters; 2) Normality,  $\mathcal{E}_{ij} \sim N(0,\sigma^2)$ ; 3) Homoscedasticity  $VAR[\varepsilon_{ij}] = \sigma^2$ ; 4) The model is correctly specified; 5) There is no perfect multicollinearity.

## 3.2 Sample

The sample is made up of 46.7% micro, 45.6% small, and 7.7% medium-sized companies, out of a total of 182 MSMEs in the state of Guanajuato, Mexico. Also, observing in Table 2, is the sample by economic activity sector in which 57.7% are companies in the trade sector, followed by service companies (23.6%), and industry (18.7%).

<b>Table 2</b> -							
Size	Total						
Micro	3.3	34.6	8.8	46.7			
Small	12.6	19.8	13.2	45.6			
Medium	2.7	3.3	1.6	7.7			
Total	18.7	57.7	23.6	100.0			
0							

Source: Own elaboration.

## 3.3 Instrument and reliability analysis

The instrument used in this research is the product of different authors. The e-Readiness level items, human capital e-Readiness and ICT accessibility were adapted from the instruments of Ríos-Manríquez (2019); Ríos (2017); Ríos (2016); Slusarczyk, Pozo and Perurena (2015); Fundetec (2014), Ríos, Ferrer, and Contreras, (2012); Fathian, Akhavan, and Hoorali (2008); Kotelnikov (2007); and Molla and Liker (2005). For the psychological empowerment items,



the one elaborated by Ríos, Téllez, and Ferrer (2010), modified and adapted to the Spanish context of Spreitzer (1995), see Table 3.

Table 3 –	Variables an	d indicators
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Variables /code (Reference)	Indicators	Item	Code		
	Early Stage	The company has a landline and mobile phone, is not connected to the internet and has no e-mail account.	ES		
e-Readiness Level/eRL	Basic Stage	The company has overcome the early stage and incorporated e-mail accounts and a website.	BS		
2017; Ríos- Manríquez, 2019).	Growth stage	The company has passed the basic stage, plus has storage of information, software for management, purchase products and services online, does electronic banking and electronic invoicing	GS		
	Maturity stage	The company surpasses the stage in development and conducts e- commerce.	MS		
Human Carital - Daadinaaa /	Preparation for the	he use of computers	PUC		
HCER	Unlimited access	s to computers	UAC		
(Ríos, Ferrer, and Contreras, 2012 and Molla and Liker, 2005).	Unlimited intern	et access	UIA		
	IT growth oppor	tunities	ITGO		
2000).	Technical, mana	gement and other knowledge for the use of IT	TMyK		
ICT Accessibility/ICTAcc	Percentage of en	ployees with a computer	PEC		
(Spreitzer, 1995; Ríos, Téllez, and Ferrer 2010)	Percentage of co	mputers with Internet access	PCI		
und Forror, 2010).	Percentage of ne	tworked computers	PNC		
		Importance of the work of HC	SIG		
	Significance	Activities of HC			
		Performance of HC			
		Capacity of HC	CAP		
Human Capital	Capacity	Knowledge and skills of HC			
(Spreitzer, 1995; Ríos, Téllez,		Abilities of HC			
and Ferrer; 2010).	a. 16	Autonomy of HC	SD		
	Self- determination	Decision of HC			
		Independence and freedom of HC			
	_	Initiative of HC	IMP		
	Impact on Results	Control of HC			
	results	Influence of HC			
Company characteristics					
	Micro	5 to 10 workers			
Company Size / CS (DOF, 2009).	Small	11 to 50 workers			
(201,200)).	Medium 51 to 250 workers				
Economic Activity Sector /	Industry				
ECAS	Trade				
(DOF, 2009).	Services				

Source: Own elaboration.



In this paper, 27 items were analyzed: 5 on the preparation of human capital in ICT; 3 about ICT accessibility; 7 of technological readiness degree in MSMEs; and 12 about empowerment. All variables were at 6 point of the Likert scale. Using Cronbach's Alpha, the reliability of the instrument was obtained of  $\alpha$ =0.700, considered as adequate (George and Mallery, 2003; Hair, Anderson, Tatham, y Black, 2014). By variable, empowerment is the variable with the highest internal consistency (see Table 4).

A	lpha	
Variable	# of	Cronbach's
	elements	Alpha
eRL	7	.728
ICTAcc	3	.721
HCER	5	.823
HCEMP	12	.910
Total	27	.700

 Table 4 – Internal consistency of the instrument using Cronbach's

Source: Own elaboration.

## 3.4 Proposed model

The objective of this research is to analyze whether the technological preparation, ICT accessibility and empowerment granted to human capital, influence the degree of technological preparation of micro, small and medium-sized companies in the state of Guanajuato, Mexico. For this, two equations are expressed, the first under the assumption that size and sector influence the eRL MSMEs:

$$eRLMSMEs_{i} = \beta_{0} + \beta_{1}HCER_{i} + \beta_{2}ICTAcc_{i} + \beta_{3}HCEMP_{i} + \beta_{4}ECAS_{i} + \beta_{5}CS_{i} + \varepsilon_{i}$$

Once the influence of size and sector is established, the second equation is:

$$eRLMSMEs_i = \beta_0 + \beta_1 HCER_i + \beta_2 ICTAcc_i + \beta_3 HCEMP_i + \varepsilon_i$$

Where:

eRLMSMEs<sub>i</sub> = e-Readiness Level of micro, small and medium-sized companies.

 $HCER_i = Human Capital e-Readiness$ 

ICTAcc<sub>i</sub>= ICT Accessibility

HCEMP<sub>i</sub> = Human Capital Empowerment

 $ECAS_i = Sector of economic activity$ 

 $CS_i = Company Size$ 

 $\epsilon_i$ = Random error





## 4 Analysis of results

Based on the hypotheses raised in this research, the analysis is carried out from the perspective of the MSMEs manager, and whether they consider that development in technological preparation is important to empower their human capital, prepare them technologically and provide them with ICT accessibility.

A descriptive analysis of the variables proposed in this study is carried out, starting with the Level of e-Readiness of MSMEs in the state of Guanajuato, Mexico, where 41.2% are in a state of maturity, followed by 36.8% in the initial stage, 14.8% in basic stage, and 7.1% in the growth stage. Each sector of economic activity, industry and service (11% respectively), is mostly in the maturity stage, while service companies are the ones that are mostly in the initial stage (25.3%). Going further by size, it is the small companies that are predominantly in the maturity stage (20.9%), and the micro-companies are mostly in the initial stage (21.40%) (see Table 5).

Sector of	company	e-RLMSMEs (%)					
economic activity	size	Early Stage	<b>Basic Stage</b>	Growth stage	Maturity stage	Total	
	Micro	1.1	0.0	0.0	2.2	3.3	
	Small	2.2	2.2	1.1	7.1	12.6	
	Medium	0.5	0.0	0.5	1.6	2.7	
Industry	Total	3.8	2.2	1.6	11.0	18.7	
	Micro	16.5	6.6	2.2	9.3	34.6	
	Small	8.2	2.7	1.6	7.1	19.8	
	Medium	0.5	0.0	0.0	2.7	3.3	
Trade	Total	25.3	9.3	3.8	19.2	57.7	
	Micro	3.8	1.1	0.5	3.3	8.8	
	Small	3.3	2.2	1.1	6.6	13.2	
	Medium	0.5	0.0	0.0	1.1	1.6	
Services	Total	7.7	3.3	1.6	11.0	23.6	
	Micro	21.4	7.7	2.7	14.8	46.7	
	Small	13.7	7.1	3.8	20.9	45.6	
	Medium	1.6	0.0	0.5	5.5	7.7	
Total	Total	36.8	14.8	7.1	41.2	100.0	

 Table 5 – Descriptive statistics of the e-Readiness of MSMEs in the state of Guanajuato

Source: Own elaboration.

In relation to the association between the variables in Table 6, it is observed that the eRL of the MSMEs in the state of Guanajuato is positively and significantly related to the Technological Preparation of Human Capital r=0.819, ICT accessibility r=0.676 and Size r=0.241, all at levels of  $\rho$ <0.01.

	1	11	III	IV	V	VI
eRLMSMEs (I)	1					
HCER (II)	.819**	1				
ICTAcc (III)	.676**	.621**	1			
HCEMP (IV)	.128	.360**	083	1		
<b>CS</b> ( <b>V</b> )	.241**	.171*	.199**	073	1	
ECAS (VI)	080	042	033	.011	115	1

**Table 6** – Association between the eRLMSMEs and the HCEMP, FLIN, HCER, ICTAcc, CS, and ECAS

**Note:** One and two asterisks indicate significance levels of 5% and 1%, respectively. **Source:** Own elaboration.

Subsequently, a discriminant analysis was carried out to describe whether there are differences between categorical groups defined in the research (Fontalvo, De la Hoz, and Vergara, 2012; Figueras, 2000; Fisher, 1936), in order to compare and describe the means of the variables studied, considering an analysis of ANOVA and multivariate significance. Table 7 contrasts the equality of group means for the variables of the model, pointing out that in companies the most important dimensions are Human Capital e-Readiness, ICT Accessibility and size of the company. This is considered since the null hypothesis determines that the means are different if the value of the significance is less than 0.05 (p < 0.05).

	L. de Wilks	F	Sig.			
HCER	.332	119.333	.000			
ICTAcc	.504	58.475	.000			
HCEMP	.981	1.148	.331			
CS	.938	3.918	.010			
ECAS	.993	.398	.755			
0	11					

# Table 7 – ANOVA using the variables HCEMP, HCER, ICTAcc,ECAS, and CS with eRLMSMEs

Source: Own elaboration.

To determine the explained variance in each of the discriminant axes, the calculation of eigenvalues and Wilks' Lambda were used. In Table 8, it is observed that three functions are established, the first of them describes 97.5% of the explained variance, with a high canonical correlation of 0.867, indicating that some variables could be estimated to discriminate between groups adequately.

Function	Eigenvalue	Variance %	% accumulated	Canonical correlation
1	3.029 <sup>a</sup>	97.5	97.5	.867
2	.077 <sup>a</sup>	2.5	100.0	.268
3	.001ª	.0	100.0	.027

**Note: a** The first 3 canonical discriminant functions have been used in the analysis. **Source:** Own elaboration.

Contrasting the means of the three discriminant functions in all groups, Table 9 shows the Wilks Lambda values in each function, determining an adequate significance p < 0.05 in 1 to 3, which indicates that these functions will lead us to accept that there are behavioral differences between the group means.

Table 9 – Wilks Lambda						
<b>Contrast of functions</b>	Wilks Lambda	<b>Chi-squared</b>	Degree of Freedom	Sig.		
1 to 3	.230	259.248	15	.000		
2 to 3	.928	13.270	8	.103		
3	.999	.126	3	.989		

Source: Own elaboration.

Once it has been determined that there are differences between groups, the linear regression is carried out using the Ordinary Least Squares (OLS) method, under the following assumptions: that the OLS estimators behave with a normal distribution and converge in probability to the real coefficients (Greene, 2003), individual significance test ("T" test), global significance test (F test), the goodness of fit, non-autocorrelation, multicollinearity, heteroskedasticity, and specification.

In order to establish the assumption that size and sector influence the eRLMSMEs, see Table 10, the regression model warns an  $R^2$  at 0,724, so the independent variables explain the changes in the level of technological readiness of the MSMEs in the state of Guanajuato as 72.4%. The F test determined that, jointly, the independent variables considered in the model are relevant to explain the eRLMSMEs with a level of significance p <0.01.

Verifying that there are no multicollinearity problems between the model variables, the Variance Inflation Factor (VIF) and Tolerance (TOL) tests were used. The model presents that the returners have a VIF less than 10, as well as a TOL close to 0.1, which indicates that there are no multicollinearity problems (Gujarati and Porter, 2010).



To detect that the model did not have heteroskedasticity problems and verify that the estimators of the OLS model are the Best Unbiased Linear Estimators (BLUE), the homoscedasticity test was applied, using the Breusch-Pagan test observed in Table 10, indicating that within the model there is no heteroskedasticity (0.019), with a Prob > c2=0.664.

Performing the residual normality test, the Jarque Bera test was used, observing in Table 10 that the contrast distribution of the general model is normal (Jarque Bera = 2.61, with a Prob > c2=0.272).

The Ramsey specification test was applied to detect that the proposed model of eRLMSMEs did not have omitted independent variables and that the variables of the model are necessary to explain it. Perceiving in Table 10, in the proposed model, there are no omitted variables, so the Ramsey test (3.94, with Prob > F=0,051) supports the results of the regression analyzed, that is, that the model does not require incorporating more independent variables into the model. Thus, the Ramsey test demonstrates the acceptable functionality of the model (MGaleeva, 2019).

In relation to autocorrelation, the Durbin-Watson test (1.938) was applied, which ruled out a correlation between errors with a significance level of 1%. When working with cross-sectional data, it is consistent that the model does not present problems of autocorrelation.

Establishing the influence of the sector and size of the eRL in the companies in the State of Guanajuato, 6 models were established: 3 by size (micro, small and medium) and 3 by sector (industry, commerce, and service), in order to personally analyze which independent variables are the most significant to them.



eRLMSMEs	Model 1 General	Model 2 Micro Company	Model 3 Small Company	Model 4 Medium Company	Model 5 Industry	Model 6 Trade	Model 7 Services
Human Capital e- Readiness	1.947***	1.943***	1.956***	2.693*	2.151***	1.906***	2.021***
ICT Accessibility	0.316***	0.207**	0.420***	0.191	0.333	0.321***	0.291*
Capital Human Empowerment	-0.233***	-0.048	-0.437***	-0.504	-0.051	-0.231**	-0.372**
Sector	-0.071						
Size	0.139*						
Constant <sup>1</sup>	-4.796***	-5.350***	-4.028***	-5.566**	-6.385***	-4.635***	-4.175***
R <sup>2</sup> adjusted	0.724	0.718	0.734	0.614	0.726	0.678	0.746
F	95.762	68.389	76.434	7.886	30.195	73.854	42.090
Prob > F	0.000	0.000	0.000	0.001	0.000	0.000	0.000
Kolmogorov- Smirnov		2.99	1.96			6.290	
Prob > Z		0.224	0.374			0.074	
Jarque Bera	2.61	0,642	0.607	0.611			0.601
Prob > c2	0.272	0,805	0.854	0.849			0.862
Shapiro Wilk				-0.781	0.747		-0.214
Prob > c2				0.782	0.228		0.585
Breusch-Pagan	0.019	0.04	0.020	2.170	0.190	0.440	0.090
Prob > c2	0.664	0.839	0.886	0.141	0.666	0.509	0.762
Ramsey Test	3.94	2.45	1.180	8.010	2.000	3.37	2.66
Prob > F	0,051	0,072	0.324	0.268	0.092	0.004	0.063
Durbin-Watson	1.938***	1.801***	2.154***	2.363**	2.335***	1.711***	2.155***
Observations	182	85	83	14	34	105	43

### Table 10 – eRLMSMEs Model

**Note:** In the normality tests, the Shapiro Wilk test was used for observations less than 50; Kolmogorov-Smirnov's for over 50 observations and Jarque Bera's for over 100 observations.

One, two and three asterisks indicate significance levels of 10, 5 and 1%, respectively.

Source: Own elaboration.

By size, in micro-enterprises, the independent variables that are most significant to them are ICT Accessibility and Human Capital e-Readiness. In small companies, all the variables proposed in the model are significant, while in medium-sized, the Technological Preparation of Human Capital is the most important, determining that together the predictor variables are relevant to explain the degree of technological preparation of the companies located in the state of Guanajuato (statistic F = 68.389,  $\rho < 0.001$ micro; small F = 76.434,  $\rho < 0.001$ ; medium F = 7,886 with a significance level of  $\rho = 0.001$ ).

By sector, in the industry, the Technological Preparation of Human Capital is most significant, while that in the trade, commerce and services sector, all the variables proposed in the model are important.

Analyzing the variance, model 5 explains 72.6% of the dependent variable eRL, with models 6 and 7 clarifying 67.8% and 74.6%, respectively. This reveals that the linear adjustment is high in industry and services, explaining more than 70% of the variation and is



acceptable in commercial companies, according to Feregrino (2016), determining a significant linear relationship between the independent variables HCER, ICTAcc and HCEMP and the ERL (see statistic F and  $\rho$  <0.001 in Table 10).

Note that the six models pass all tests, except for trade, which show specification problems (Ramsey = 3.37 with Prob> F = 0.004), when detecting a p-value lower than the significance level p> 0.05 (Ramsey, 1969; MGaleeva, 2019), see Table 10. In relation to the Normality Test, the tests were used according to the number of observations analyzed: Swilk for observations less than 60, Kolmogorov-Smirnov for observations greater than 60, and Jarque Bera for observations from 100 upwards (Thadewald & Buning, 2007; Yazici & Yolacan, 2007). As Observed in Table 10 the probability value is greater than 0.05 in all models, which is recommended by Díaz, Mejía, Erquizio and Ramírez (2015). The proposed models do not reveal multicollinearity problems with values lower than 10 (FIV), as well as TOL close to 1 (Gujarati and Porter, 2010). It should be noted that, in terms of error, the six models reveal the absence of autocorrelation, as per the Durbin-Watson test, with values between 1,711 and 2,363 and according to what was indicated by De la Fuente (2011) and Quintana and Mendoza (2008), which indicate values between 1,500 to 2,500.

Regarding the hypotheses, hypothesis  $H_1$  is partially accepted since not all companies are in the maturity stage. Therefore, hypotheses  $H_2$  and  $H_3$  are accepted since both the independent variables proposed in this investigation influence the eRL of MSMEs.

## **5** Discussion

This research coincides with Srivastava and Madan (2018); and Matthews, Diaz, and Cole, (2003) that human capital should be empowered and, in addition, provide tools such as Information and Communication Technologies, according to Ríos (2016); Bocanegra and Vázquez (2010); and Molla and Liker (2005), ensuring that ICT accessibility, mentioned by Guenaga, Barbier, and Eguiluz (2007), is available to all collaborators; and Pedraza, Sánchez and García (2005) and prepare them digitally as indicated by Ríos, (2014); Miquilena and Portillo (2010) and Fathian et al. (2008).

In addition, in the study by Ríos (2017), aimed at the automotive sector of Guanajuato, Hidalgo and Querétaro, with a sample of 48 companies, it was determined that the companies of the State of Guanajuato are in the mature stage, but they do not attach importance to comprehensive systems, nor designs through software, and less than 10% use central service networks. Coinciding with this research, in the sense that although 41.2% are in the maturity



stage, it is because MSMEs use e-commerce, but are not interested in adopting integral systems, design through software, and networks without a central server. In reference to the study by Ríos-Manríquez (2019) of 133 women entrepreneurs from the state of Guanajuato of MSMEs, only 30.8% carry out electronic commerce, where micro (13.5%) use e-commerce the most, while in this research it is found that MSMEs e-commerce is used more by small companies (20.6%).

These results indicate that in the MSMEs of Guanajuato in Mexico, they have a better degree of digital readiness compared to the MSMEs in Colombia, according to the study by Martelo, Jiménez and Jaimes (2017), although they have the challenge of continuing to advance in the incursion of e-commerce, as well as incorporating ICT such as integral systems, design through software, networks without a central server, specialized systems such as ERP, CRM, among others, if they intend to stay in the market and handle the economic crisis they are facing, where the challenge is to reinvent yourself to survive.

## **6** Conclusions

The objective of this research was to analyze whether the technological preparation to human capital, ICT accessibility and the empowerment granted to human capital influence in the level of e-Readiness of micro, small and medium-sized companies in the state of Guanajuato, Mexico.

Under the assumption proposed in this study, the size and sector influence the eRL of MSMEs, and later disaggregating this into three models based on size (micro, small and medium), with a further three models formed by sector (industry, commerce, and services). The results of the model were argued with the normality tests, T-test, F-test, goodness of fit, autocorrelation, multicollinearity, heteroskedasticity, and specification. Indicating that the model does not have omitted variables, determining that all independent variables are relevant to explain the eRL in MSMEs located geographically in the state of Guanajuato.

This specifies that the characteristics by size and sector of MSMEs influence the vision that the owners or managers have of the indicators proposed in the model.

By size, in micro-companies, the most relevant variables in their eRL were the human capital e-Readiness, ICT accessibility and Capital Human Empowerment. Whereby in the small companies, all the independent variables were an influence. While in the medians, human capital e-Readiness is predominantly important.



By sector, for industrial companies, the most relevant variable is human capital e-Readiness. In the trade and service sector, all the independent variables influence their eRL, from the perception of owners or managers.

Thus, it is determined that the owners, managers or entrepreneurs are a key influence in the use of ICT and therefore, in their degree of technological preparation, in accordance with the provisions of Ríos-Manríquez (2019) and Golding et al. (2008).

In this study, it is recognized that the results vary by size and sector, since they have different characteristics that affect or benefit their degree of adoption, as well as the influence of the factors proposed in this research, in agreement with what was stated by Golding, Donaldson, Tennant and Black (2008), by establishing that the attributes of MSMEs are different by size and sector.

The limitations and future lines of research of the study are: the sample, which should be expanded in medium-sized and industrial companies, in order to obtain more precise data and delve into the reasons why these type of companies consider that empowerment of human capital and ICT accessibility are not as relevant in their eRL. Another limitation is that this study was only directed at companies located in the state of Guanajuato, so it is suggested to expand the sample to all the states of Mexico, and to deepen the confirmatory statistical analysis.

Another restriction is that the model was not well specified in commercial companies, which indicates that it is necessary to delve into what other variables affect eRL, such as those proposed by Fathian, Akhavan and Hoorali (2008), Fundetec (2014), Kotelnikov (2007), Molla and Liker (2005), Ríos, Ferrer and Contreras (2012), Slusarczyk, Pozo and Perurena (2015).

The studies found in the literature are those related to the preparation of human capital as a necessary element for the technological preparation of companies (Molla and Liker, 2005; Ríos, 2016, Ríos, Ferrer and Contreras, 2012, Fathian, Akhavan and Hoorali, 2008), but not of the other variables proposed in this study as a whole. They pose the influence of the empowerment of human capital, its technological preparation, and the access to ICT that the company gives them in the eRL of the MSMEs, showing the relevance for decision-makers within MSMEs, which is considered the main contribution of this study.

This research goes deeper into the variables that influence the degree of *e-Readiness* on MSMEs in Mexico, considering the influence of the size and sector in their technological preparation.



Concluding on the importance of undertaking analysis by sector and size, as when deep evaluation is carried out, it is verified that the owners or directors of micro, small, medium, industrial, commercial and service companies give different importance to the variables HCER, ICTAcc, HCEMP in the company's technology readiness degree.

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